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PTO/SB/31 (04-05)

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**NOTICE OF APPEAL FROM THE EXAMINER TO  
THE BOARD OF PATENT APPEALS AND INTERFERENCES**

Docket Number (Optional)

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)]  
on \_\_\_\_\_

Signature \_\_\_\_\_

Typed or printed name \_\_\_\_\_

In re Application of

Steven H. Schwartzkopf

Application Number

10/634,595

Filed

August 4, 2003

For See 1 in Addendum

Art Unit

1724

Examiner

Hruskoci, Peter A.

Applicant hereby appeals to the Board of Patent Appeals and Interferences from the last decision of the examiner.

The fee for this Notice of Appeal is (37 CFR 41.20(b)(1))

\$ 500.00

Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee shown above is reduced by half, and the resulting fee is: \$ 250.00

A check in the amount of the fee is enclosed.

Payment by credit card. Form PTO-2038 is attached.

The Director has already been authorized to charge fees in this application to a Deposit Account. I have enclosed a duplicate copy of this sheet.

The Director is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 10-1213. I have enclosed a duplicate copy of this sheet.

A petition for an extension of time under 37 CFR 1.136(a) (PTO/SB/22) is enclosed.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

I am the

applicant/inventor.

assignee of record of the entire interest.

See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed.  
(Form PTO/SB/96)

attorney or agent of record.

Registration number 47003

(703) 415-1500

Telephone number



Signature

Jennifer P. Yancy

Typed or printed name

attorney or agent acting under 37 CFR 1.34.

Registration number if acting under 37 CFR 1.34. 12/5/2005

Date

**NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required.  
Submit multiple forms if more than one signature is required, see below\*.**

\*Total of 1 forms are submitted.

This collection of information is required by 37 CFR 41.31. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11, 1.14 and 41.6. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

## Addendum

### 1. Liquid Filtration Apparatus and Method Embodying Super-Buoyant Filtration Particles



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re: Steven H. Schwartzkopf

Application No.:10/634,595

Group Art Unit: 1724

Filed: 08/04/2003

Examiner: Hruskoci, Peter A.

For: LIQUID FILTRATION APPARATUS AND METHOD EMBODYING SUPER-BUOYANT FILTRATION PARTICLES

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**NOTICE OF APPEAL FROM THE PRIMARY EXAMINER  
TO THE BOARD OF PATENT APPEALS AND INTERFERENCES**  
**37 CFR §41.31**

Applicant hereby appeals to the Board from the decision of the Primary Examiner mailed August 3, 2005, for a second time rejecting claims 1-3, 6, 7, 9, 10, and 21-36.

**I. STATUS OF APPLICANT**

This application is on behalf of a small entity.

**II. FEE FOR FILING NOTICE OF APPEAL**

Pursuant to 37 CFR §41.20(b)(1), the fee for filing the Notice of Appeal is:

Notice of Appeal fee due (small entity)	\$ 250.00
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### III. EXTENSION OF TERM

The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

Applicant petitions for an extension of time under 37 C.F.R. 1.136 (fees: 37 C.F.R.

1.17(a)(1-4)) for one month: Fee: \$ 60.00

If an additional extension of time is required, please consider this a petition therefor.

**IV. TOTAL FEES DUE:**

The total fee due is:

Notice of Appeal fee	\$ 250.00
Extension fee	\$ 60.00
<b>TOTAL FEE DUE</b>	<b>\$ 310.00</b>

## V. FEE PAYMENT

Attached is a check in the amount of \$310.00.

## VI. FEE DEFICIENCY

If any additional extension and/or fee is required, charge Deposit Account No. 10-1213.

Dated: December 5, 2005

Jennifer P. Yancy  
Jennifer P. Yancy  
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